

Supplementary Online Content

Rothenberg KA, Stern JR, George EL, et al. Association of frailty and postoperative complications with unplanned readmissions after elective outpatient surgery. *JAMA Netw Open*. 2019;2(5):e194330. doi:10.1001/jamanetworkopen.2019.4330

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This supplementary material has been provided by the authors to give readers additional information about their work.

eTable 1. Patient Demographics by Cohort Length of Stay (LOS) = 0 Days vs LOS ≥ 1 Days

Characteristics	LOS = 0 76.8%; N = 321,104	LOS ≥ 1 23.2%; N = 96,736	P Value
Mean age, years (SD)	35.8 (16.4)	38.1 (15.8)	<.001
Female gender, % [N]	55.6 [178,610]	71.2 [68,897]	<.001
Diabetes Mellitus, % [N]			
Insulin	3.4 [10,909]	4.5 [4,367]	<.001
Non-insulin	7.2 [23,221]	9.2 [8,921]	<.001
Hypertension, % [N]	35.3 [113,480]	43.0 [41,634]	<.001
Congestive Heart Failure, % [N]	0.2 [602]	0.3 [248]	<.001
Coronary Artery Disease, % [N]	0.5 [1,678]	0.7 [628]	<.001
Disseminated Cancer, % [N]	0.4 [1,358]	0.8 [803]	<.001
COPD, % [N]	2.5 [7,889]	3.4 [3,292]	<.001
Current Smoker, % [N]	17.1 [54,904]	17.0 [16,414]	.34
Preoperative Hematocrit, % [N]			<.001
Quartile 1: 43.2-60.0	18.3 [58,593]	17.5 [16,904]	
Quartile 2: 40.6-43.1	16.5 [53,099]	20.0 [19,375]	
Quartile 3: 37.9-40.5	17.5 [56,330]	23.8 [23,025]	
Quartile 4: 8.0-37.8	16.1 [51,599]	23.7 [22,929]	
Unknown/Missing	31.6 [101,483]	15.0 [14,503]	
ASA classification, % [N]			<.001
I: No Disturbance	17.4 [55,759]	7.6 [7,319]	
II: Mild Disturbance	56.2 [180,522]	54.3 [52,552]	
III: Severe Disturbance	24.4 [78,219]	35.6 [34,419]	
IV/V: Life Threatening/Moribund	1.5 [4,764]	2.3 [2,178]	
Unknown/Missing	0.6 [1,840]	0.3 [268]	
Steroid use, % [N]	2.1 [6,697]	2,635 [2.7]	<.001
Bleeding disorder, % [N]	1.9 [6,077]	2.6 [2,541]	<.001
Frail (RAI≥30), % [N]	2.5 [8,079]	3.1 [3,041]	<.001

Abbreviations: COPD = Chronic Obstructive Pulmonary Disease; ASA = American Society of Anesthesiologists Physical Status Classification; RAI = Risk Analysis Index

eTable 2. RAI (Risk Analysis Index) Score Components Mapped to NSQIP (National Surgical Quality Improvement Program) Variables

Variable	RAI		NSQIP Variable
Male Sex	3		SEX
Age* Age	<u>no cancer</u>	<u>cancer</u>	AGE DISCANCR
≤19	0	28	
20-24	1	29	
25-29	4	29	
30-34	6	30	
35-39	8	30	
40-44	10	31	
45-49	12	31	
50-54	14	32	
55-59	16	32	
60-64	18	33	
65-69	20	34	
70-74	22	34	
75-79	24	35	
80-84	26	35	
85-89	28	36	
90+	30	36	
Weight Loss	8		WTLOSS
Renal Failure	8		RENAFAIL; DIALYSIS
Congestive Heart Failure	5		HXCHF
Shortness of Breath	3		DYSPNEA
Residence other than Ind. Living	1		TRANST
Activities of Daily Living* Totally dependent	<u>no cognitive</u>	<u>cognitive</u>	FNSTATUS2 IMPSENS; COMA; CVA
Partially dependent	14	16	
Independent	7	11	
	0	5	
Total RAI (range)	0	81	

eTable 3. CPT (Current Procedure Terminology) Frequency Table by Type of Surgeries Included in the Cohort NSQIP (National Surgical Quality Improvement Program), Stratified by Frailty

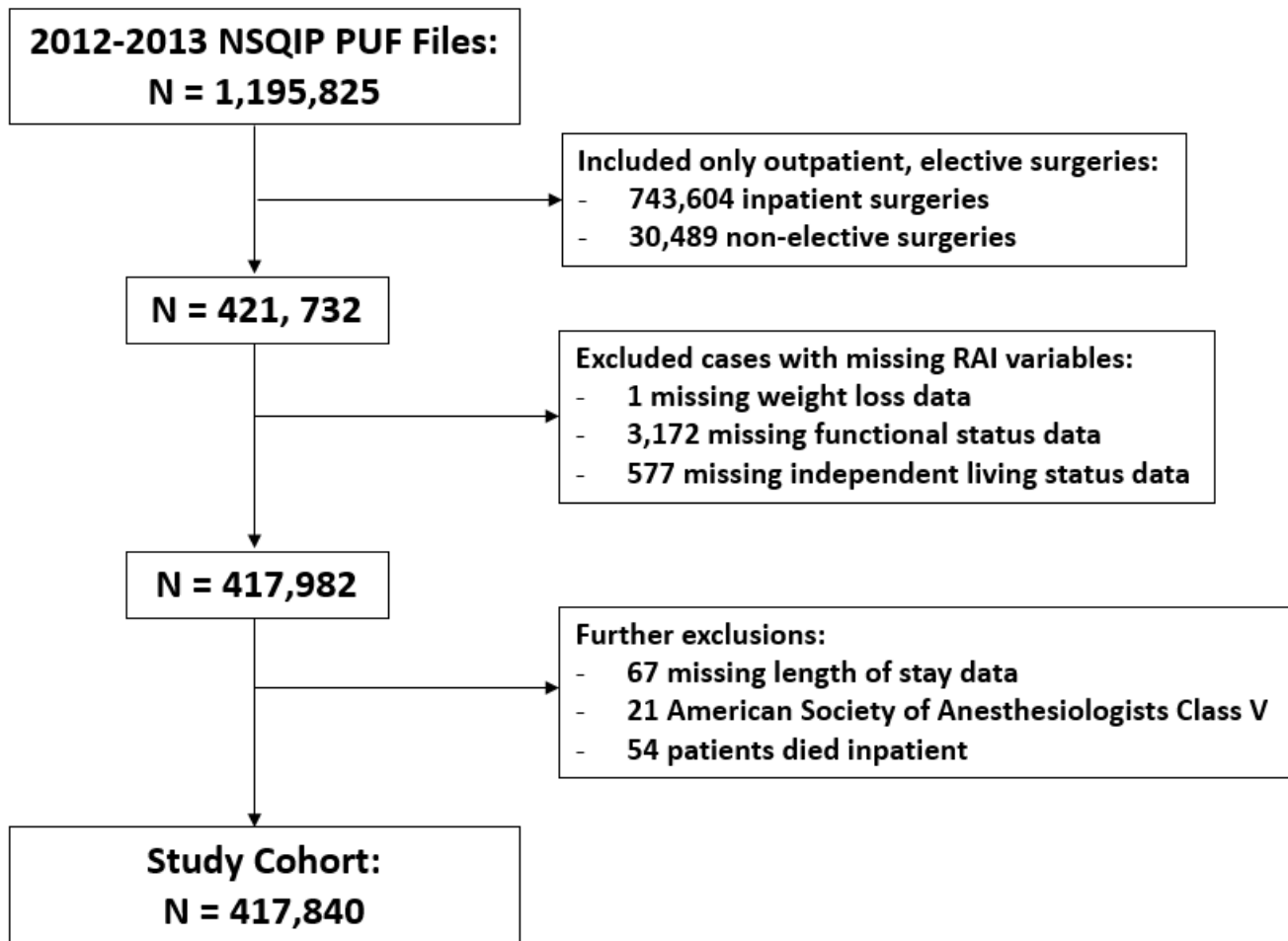
Non-Frail 97.3%; N = 406,720			Frail 2.7%; N = 11,120		
Surgery Category	Percent	N	Surgery Category	Percent	N
General/GI	39.5	160,604	General/GI	38.1	4,231
Laparoscopic Cholecystectomy	7.2	29,395	Inguinal Hernia	10.0	1,113
Inguinal Hernia	5.9	24,043	Laparoscopic Intraperitoneal Cath	3.3	370
Umbilical Hernia	3.6	14,770	Laparoscopic Cholecystectomy	3.0	337
Skin/Soft Tissue	16.8	68,782	Laparoscopic Biopsy	1.8	205
Partial Mastectomy	3.9	15,960	Laparoscopic Inguinal Hernia	1.7	191
Excisional Breast Biopsy	2.5	9,975	Urology	21.5	2,392
Simple Mastectomy	1.4	5,519	Cystoscopic Excision	4.4	488
Musculoskeletal	15.8	64,154	Transurethral Resection	3.3	366
Arthroscopic Knee	2.6	10,575	Laser Prostate Surgery	3.0	338
Arthroscopic Rotator Cuff	1.2	4,965	Cystoscopic Removal Tumor	2.1	231
Ob/Gyn	9.2	37,271	Skin/Soft Tissue	14.7	1,638
Laparoscopic Hysterectomy	1.6	6,601	Cardiac/Vascular	11.8	1,316
Sling	1.4	5,860	Arteriovenous Fistula Banding	1.8	196
Endocrine	5.6	22,803	Cardiac Stent	1.2	135
Thyroidectomy	1.7	6,769	Femoral/Popliteal Angioplasty	1.2	129
Parathyroidectomy	1.5	5,946	Laser Vein Ablation	1.1	119
Thyroid Lobectomy	1.3	5,292	Stab Phlebectomy	1.0	108
Urology	4.9	19,782	Musculoskeletal	6.3	703
Cardiac/Vascular	4.2	17,190	Endocrine	2.5	275
Neurosurgery	3.0	12,378	Ob/Gyn	1.9	207
Lumbar Laminotomy	1.6	6,612	Chest/Diaphragm	1.5	169
Other	0.7	2,774	Neurosurgery	1.5	169
Chest/Diaphragm	0.3	1,270	Other	0.2	20

eTable 4. Reasons for Unplanned Readmissions (N=7,774) by Frailty, Based on NSQIP (National Surgical Quality Improvement Program) Reasons and by Categories Specified by Merkow et al¹ for Patients Undergoing Elective Outpatient Surgery

NSQIP Reason, % [N]	Non-Frail 91.1%; N=7,081	Frail 8.9%; N=693	P Value
Other	71.1 [5,033]	68.8 [477]	.21
Pneumonia	2.2 [156]	5.3 [37]	<.001
Sepsis	1.8 [130]	4.6 [32]	<.001
Urinary Tract Infection	2.1 [150]	3.6 [25]	.012
Myocardial Infarction	0.8 [57]	2.6 [18]	<.001
Organ/Space SSI	4.9 [344]	2.5 [17]	.004
Superficial Incisional SSI	5.0 [355]	2.2 [15]	.001
Cerebrovascular Accident	0.7 [50]	2.0 [14]	<.001
Pulmonary Embolism	2.9 [202]	1.6 [11]	.05
Septic Shock	0.4 [29]	1.4 [10]	<.001
Acute Renal Failure	0.3 [21]	1.2 [8]	<.001
Deep Incisional SSI	4.1 [293]	1.2 [8]	<.001
Deep Venous Thrombosis Req. Therapy	1.5 [108]	1.0 [7]	.41
Progressive Renal Insufficiency	0.4 [27]	0.7 [5]	.20
Wound Disruption	1.2 [87]	0.7 [5]	.35
Cardiac Arrest Req. CPR	0.07 [5]	0.3 [2]	.12
Bleeding Req. Transfusion	0.3 [24]	0.1 [1]	.72
Graft/Prosthesis/Flap Failure	0.07 [5]	0.1 [1]	.43
On Ventilator > 48 Hours	0.01 [1]	0	>.99
Unplanned Intubation	0.06 [4]	0	>.99
Missing, N	1,751	234	-
Reason as classified by Merkow et al ¹ , % [N]	Non-Frail 91.1%; N=7,081	Frail 8.9%; N=693	P Value
Bleeding	11.4 [810]	10.5 [73]	<.001
Ileus or obstruction/Other Gastrointestinal	16.4 [1,164]	10.1 [70]	<.001
Pulmonary	4.4 [310]	9.4 [65]	<.001
Cardiac	3.1 [220]	8.8 [61]	<.001
SSI	18.6 [1,320]	7.5 [52]	.010
Sepsis	2.8 [198]	7.1 [49]	<.001
Other Medical	5.6 [399]	6.9 [48]	<.001
Cancer	5.0 [352]	6.2 [43]	<.001
Acute Kidney Injury/Other Genitourinary	3.7 [265]	5.6 [39]	<.001
Other Surgical	5.0 [356]	4.5 [31]	<.001
Graft/Prosthesis	2.2 [156]	4.5 [31]	<.001
Urinary Tract Infection	2.4 [170]	3.8 [26]	<.001
CNS/Cerebrovascular Accident	1.5 [106]	3.3 [23]	<.001
Deep Venous Thrombosis	4.8 [337]	3.2 [22]	<.001
Dehydration/Nutrition	2.8 [195]	2.6 [18]	<.001
Orthopedic	2.0 [144]	2.3 [16]	<.001
Vascular	1.2 [85]	2.3 [16]	<.001
Pain	7.6 [540]	2.0 [14]	.84
Missing, N	1,751	234	-

Abbreviations: CPR = Cardiopulmonary Resuscitation; SSI = Surgical Site Infection; CNS = Central Nervous System

eFigure. Cohort Creation



eAppendix. Methods: Mediation Analysis

We evaluated the effects of frailty on unplanned hospitalizations mediated by post-operative complications. We hypothesized that complications exist in the causal pathway between frailty and readmissions (i.e. frailty leads to higher rates of complications, which causes some unplanned hospitalizations). The first steps of the analyses were to investigate whether mediation is occurring. Second, we aimed to quantify the proportion of the frailty-unplanned hospitalization effect that is mediated through post-operative complications. We utilized logistic regression models to estimate the mediation effects^{2,3} and calculated the proportion mediated using the product method with standardized effects.⁴

I. Variables

X = Frailty (exposure)

M = Complications (mediator)

Y = Unplanned Hospitalizations (outcome)

II. Equations

A. Three logistic regression models were calculated for the LOS 0 and LOS 1+ cohorts.

$$(1) \log \{p/(1-p)\} = \beta_0 + \beta_1 X + \beta_c \text{Covariates}$$

where p is the probability that M=1 (complications occurred)

$$\beta_1 = a$$

$$(2) \log \{p/(1-p)\} = \beta_0 + \beta_1 X + \beta_2 M + \beta_c \text{Covariates}$$

where p is the probability that Y=1 (unplanned hospitalizations occurred)

$$\beta_2 = b, \beta_1 = c$$

$$(3) \log \{p/(1-p)\} = \beta_0 + \beta_1 X + \beta_c \text{Covariates}$$

where p is the probability that Y=1 (unplanned hospitalizations occurred)

$$\beta_1 = c$$

B. Sobel method for calculating the standard error of the mediation effect²:

$$(4) m = a * b \text{ (mediation effect)}$$

$$(5) \text{Sobel z-score} = \frac{m}{\sqrt{(b^2 se_a^2 + a^2 se_b^2)}}$$

where se_a is the standard error of a and se_b is the standard error of b (from logistic regression)

The Sobel p-value is drawn from the unit normal distribution, two-tailed z-test: $H_0 = 0$

C. Proportion of Effect Mediated with Standardized Effects³

$$(6) \text{Standardized } a = a * SD(X) / \sqrt{(a^2 * SD(X)^2) + 3.29}$$

$$(7) \text{Standardized } b = b * SD(M) / \sqrt{(c'^2 * Cov(X, M)) + (b^2 * SD(M)^2) + (2bc' * Cov(X, M)) + 3.29}$$

$$(8) \text{Standardized } c = c * SD(X) / \sqrt{(c^2 * SD(X)^2) + 3.29}$$

(9) Proportion Mediated = (Standardized a * Standardized b) / Standardized c

III. Calculations

A. Requirements to claim mediation³

1. Exposure (frailty) affects the mediator (complications); i.e. effect a in Figure 1 is significant.

a. LOS 0

$$\log \{p/(1-p)\} = -5.40 + 0.58 X + \beta_c \text{ Covariates} \quad (1)$$

$$a = \beta_1 = 0.58 \text{ (p<0.001)} \quad (1)$$

b. LOS 1+

$$\log \{p/(1-p)\} = -4.40 + 0.51 X + \beta_c \text{ Covariates} \quad (1)$$

$$a = \beta_1 = 0.51 \text{ (p<0.001)} \quad (1)$$

2. Mediator affects the outcome adjusting for the effect of intervention on outcome (effect b in (2) is significant)

a. LOS 0

$$\log \{p/(1-p)\} = -7.05 + 0.74 X + 3.93 M + \beta_c \text{ Covariates} \quad (2)$$

$$b = \beta_2 = 3.93 \text{ (p<0.001)} \quad (2)$$

$$c' = \beta_1 = 0.74 \text{ (p<0.001)} \quad (2)$$

b. LOS 1+

$$\log \{p/(1-p)\} = -5.77 + 0.49 X + 3.32 M + \beta_c \text{ Covariates} \quad (2)$$

$$b = \beta_2 = 3.32 \text{ (p<0.001)} \quad (2)$$

$$c' = \beta_1 = 0.49 \text{ (p<0.001)} \quad (2)$$

3. The mediation effect is significant (for example, $a \times b \neq 0$)

a. LOS 0

$$a = 0.58, b = 3.93, se_a = 0.05, se_b = 0.03 \quad (1, 2)$$

$$m = a * b = 0.58 * 3.93 = 2.28 \quad (4)$$

$$\text{Sobel } z = \frac{2.28}{\sqrt{((3.93^2 \times 0.05^2) + ((0.58^2 \times 0.03^2))}} = 11.6 \quad (5)$$

$$\text{Sobel } p < 0.001$$

b. LOS 1+

$$a = 0.51, b = 3.32, se_a = 0.07, se_b = 0.04 \quad (1, 2)$$

$$m = a * b = 0.51 * 3.32 = 1.69 \quad (4)$$

$$\text{Sobel } z = \frac{1.69}{\sqrt{((3.32^2 \times 0.07^2) + ((0.51^2 \times 0.04^2))}} = 7.26 \quad (5)$$

$$\text{Sobel } p < 0.001$$

B. Proportion mediated

a. LOS 0

$$\log \{p/(1-p)\} = -6.7 + 0.82 X + \beta_c \text{Covariates} \quad (3)$$

$$c = \beta_1 = 0.82 \text{ (} p < 0.001 \text{)} \quad (3)$$

$$\text{Standardized } a = 0.58 * 0.1566 / \sqrt{(0.58^2 * 0.1566^2) + 3.29} = 0.050 \quad (6)$$

$$\text{Standardized } b = \quad (7)$$

$$3.93 * 0.1579 / \sqrt{(0.74^2 * 0.0011) + (3.93^2 * 0.1579^2) + (2 * 3.93 * 0.74 * 0.0011) + 3.29} = 0.322 \quad (7)$$

$$\text{Standardized } c = 0.82 * 0.1566 / \sqrt{(0.82^2 * 0.1566^2) + 3.29} = 0.071 \quad (8)$$

$$\text{Proportion Mediated} = (0.050 * 0.322) / 0.071 = 0.228 = 22.8\% \quad (9)$$

b. LOS 1+

$$\log \{p/(1-p)\} = -5.5 + 0.64 X + \beta_c \text{Covariates} \quad (3)$$

$$c = \beta_1 = 0.64 \text{ (} p < 0.001 \text{)} \quad (3)$$

$$\text{Standardized } a = 0.51 * 0.1745 / \sqrt{(0.51^2 * 0.1745^2) + 3.29} = 0.049 \quad (6)$$

$$\text{Standardized } b =$$

$$3.32 * 0.2128 / \sqrt{(0.49^2 * 0.0016) + (3.32^2 * 0.2128^2) + (2 * 3.32 * 0.49 * 0.0016) + 3.29} = 0.362 \quad (7)$$

$$\text{Standardized } c = 0.64 * 0.1745 / \sqrt{(0.64^2 * 0.1745^2) + 3.29} = 0.061 \quad (8)$$

$$\text{Proportion Mediated} = (0.049 * 0.362) / 0.061 = 0.293 = 29.3\% \quad (9)$$

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